

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Lothar Kempen

Assignee:

Maven Technologies, LLC

Title:

APPARATUS AND METHOD FOR IMAGING

Serial No.:

10/602,555

Filing Date:

June 23, 2003

Examiner:

Hoa Q. Pham

Group Art Unit:

2877

Docket No.:

M-15218-3C US

Confirmation No.

1187

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(B)

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the document listed on the accompanying Substitute for form 1449/PTO is called to the attention of the Examiner for the above patent application. A Copy of the documents are enclosed.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made, or
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material to patentability as defined in §1.56(b).

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Serial No. 10/602,555

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The Commissioner is authorized to deduct \$180.00 from the undersigned's Deposit Account No. 50-2257. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450 Alexandria, VA, 22313-1450 on July 6, 2004.

Respectfully submitted,

Attorney for Applicant(s)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/602,555			
Filing Date	06/23/2003			
First Named Inventor	Lothar Kempen			
Art Unit	2877			
Examiner Name	Hoa Q. Pham			
Attorney Docket Number	M-15218-3C US			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Peges, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	-	US- 2004/0023247A1	02-05-2004	Xu et al.	1 1ga: 00 / tpota
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		US- 2004/0012676A1	01-22-2004	Weiner et al	
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		IGN PATENT DOCU			
Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
	Country Code ³ Number ⁴ "Kind Code ⁸ (# Innown)	MM-DD-YYYY		Or Relevant Figures Appear	To
	WO 03/102580A1	12-11-2003	Saeften et al.		
	WO 03/056337A1	07-10-2003	Loefas		
	AU 742417	02-11-2000	Ivarsson		
	WO 98/32002	07-23-1998	Herbai et al.		
	WO 97/19375	05-29-1997	Ivarsson et al.	,	
	WO 96/38729	12-05-1996	Wahlstroem et al.		

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INIEC	DASATI	ON DISCI	OSLIDE	Filing Date	June 23, 2003
INFORMATION DISCLOSURE			_	First Named Inventor	Lothar Kempen
(Use as many sheets as necessary)			Art Unit	2877	
		Examiner Name	Hoa Q. Pham		
heet	2	of	7	Attorney Docket Number	M-15218-3CUS

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		Number-Kind Code ² (F trough			Figures Appear
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Examiner Cits	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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		WO 96/08720	03-21-1996	Sternesjoe et al.		
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Substitute for form 1449/PTO	Comple	te if Known	1
	Application Number	10/602,555	
INFORMATION DISCLOSURE	Filing Date	June 23, 2003	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Lothar Kempen	
	Art Unit	2877	
	Examiner Name	Hoa Q. Pham	
et 3 of 7	Attorney Docket Number	M-15218-3CUS	フ

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Examiner	Cite	Document Number	Publication Date	DOCUMENTS Name of Patentee or	Pages, Columns, Lines, Where
tnitials* N	No.1	Number-Kind Code ^{2 (I troud)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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		^{US-} Re. 35,716	01/20/1998	Stapleton et al.	
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Examiner Cite Initials* No.	Cite No.	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/602,555 INFORMATION DISCLOSURE Filing Date 06/23/2003 STATEMENT BY APPLICANT **First Named Inventor** Lothar Kempen **Art Unit** 2877 (Use as many sheets as necessary) **Examiner Name** Hoa Q. Pham **Attorney Docket Number** Sheet 7 M-15218-3C US 4 of

Eveminer	Exeminer Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of							
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²					
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Substitute	e for form 1449/PTO)		Complete if Known		
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STA	TEMENT	BY A	PPLICANT	First Named Inventor	Lothar Kempen	
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Sheet	5	of	7	Attorney Docket Number	M-15218-3C US	

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Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Signature	Considered	

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STATEMENT BY APPLICANT				First Named Inventor	Lothar Kempen	
	#4			Art Unit	2877	
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Sheet	6	of	7	Attorney Docket Number	M-15218-3C US	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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·		DR. RUDOLF OLDENBOURG "A new view on polarization microscopy", Nature, Vol. 381, pp.811-812, 27 June 1996	

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		CLIFFORD C. HOYT ET AL. "Structural analysis with quantitative birefringence imaging", American Laboratory, pp. 34-42, July 1999	
		DIRK HONIG ET AL. "Direct visualization of monolayers at the air-water interface by Brewster angle microscopy", J. Phys. Chem., pp. 4590 & 4592, 1991 American Chemical Society	
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